

November 17, 2008

YADKIN COUNTY BOARD OF COMMISSIONERS

Statement of Zoning Consistency pursuant to G.S. 153A-341

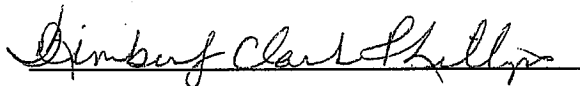
Re: Proposed Rezoning of approximately 0.58 acre, being all of Parcel 582700120323, at 2105 East Old Highway 421, from the Community Business (CB) District to the Rural Agricultural (RA) District.

We have found this property to be located in an area of low to medium density housing and agricultural land, one mile from city limits of Yadkinville, and being in residential use, improved with a site-built single-family home, with no history of commercial use,

We therefore find the existing Community Business zoning to be in error; we find that the above referenced proposal for rezoning is consistent with the intent of the Rural Agricultural District as provided in Article 7, Section 4. of the Yadkin County Zoning Ordinance.

We further find the above referenced proposal for rezoning to be consistent with all guidelines for rural and open space preservation and low-to-medium density residential development found in the Yadkin County Land Use Plan.

We therefore consider the proposed rezoning to be reasonable and in the public interest.

A handwritten signature in cursive script, appearing to read "Kimbrey Clark Phillips", is written over a horizontal line.

Chairman

**RESOLUTION
OF THE YADKIN COUNTY
BOARD OF COMMISSIONERS**

WHEREAS, the North Carolina Division of Community Assistance is a significant source of funding for affordable housing within Yadkin County; and

WHEREAS, the North Carolina Division of Community Assistance has conditionally awarded Yadkin County \$75,000 for Community Development Block Grant Infrastructure Hook-Up funds for Yadkin County residents; and

WHEREAS, the funds are expected to assist (30) thirty low income families; and

WHEREAS, Yadkin County will realize additional social benefits in the form of preserving its affordable housing stock for low and moderate income citizens.

NOW, THEREFORE, BE IT RESOLVED BY THE YADKIN COUNTY BOARD OF COMMISSIONERS;

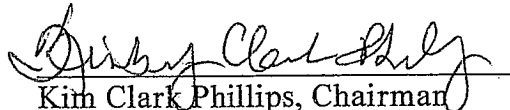
That the Board of Commissioners of Yadkin County will substantially comply with all Federal, State and local laws, rules, regulations and ordinances pertaining to the project and to Federal and State grants pertaining thereto.

That, Kim Clark Phillips, Chairman, Board of Commissioners and Stan Kiser, County Manager, and successors so titled is hereby authorized and directed to furnish such information as the appropriate governmental agencies may request in connection with such applications for the project; to make the assurances and certifications as contained above, and to execute such other documents as may be required in connection with the construction of the project.

That this Resolution shall take effect immediately upon its adoption.

That the County will submit an application to the North Carolina Division of Community Assistance in the amount of \$75,000 as funding for the Yadkin County Infrastructure Hook-Up Program.

Adopted this the 17th day of November, 2008


Kim Clark Phillips, Chairman
Board of Commissioners

ATTEST:


Clerk to the Board

YADKIN COUNTY

COMMUNITY DEVELOPMENT BLOCK GRANT

INFRASTRUCTURE HOOK-UP PROJECT

**POLICIES, PROCEDURES, PLANS, RESOLUTIONS
AND ORDINANCES**

2008-2010

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YADKIN COUNTY
POLICIES, PROCEDURES, RESOLUTIONS
AND ORDINANCES
FOR THE
COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM


WHEREAS, Yadkin County will be awarded a Community Development Block Grant Infrastructure Hook-Up Award for \$75,000; and

WHEREAS, Yadkin County desires to adopt Community Development Program Policies, Procedures, Plans, Resolutions and Ordinances; and


WHEREAS, The Community Development Office has created Community Development Program Policies, Procedures, Plans, Resolutions and Ordinances;

NOW, THEREFORE, BE IT RESOLVED, Yadkin County hereby adopts Community Development Program Policies, Procedures, Plans, Resolutions and Ordinances as herein contained.

Adopted this the 17th day of November, 2008.


Chairman

ATTEST:


Clerk to the Board

**Policies, Procedures, Plans, Resolutions and Ordinances for the
2008 Yadkin County CDBG Infrastructure Hook-Up Project**

1. Recipient's Plan to Affirmatively Further Fair Housing - This plan states that the County will implement activities to affirmatively further fair housing and comply with Title VIII, Civil Rights Act of 1968. This plan outlines the quarterly activities the County will undertake during the life of the grant to promote fair housing.
2. Fair Housing Complaint Procedure - This procedure states how the County will handle complaints of housing discrimination and what steps that will be undertaken to resolve these complaints.
3. Fair Housing Plan Resolution - This resolution shows the County's support for Fair Housing throughout the County.
4. Equal Employment Opportunity and Procurement Plan - This plan certifies that the County will comply with all nondiscrimination laws and regulations in employment, and will take action in the areas of enforcement, education and removal of barriers and impediments that affirmatively further equal access in procurement.
5. Procurement Policy - This policy outlines the three methods of procurement to be used within this project for the award of contracts and for purchases made.
6. Section 3-Local Economic Benefit for Low and Very Low Income Persons Plan - This plan explains the County's strategy for identifying opportunities in employment arising out of a CDBG assisted project and for making these jobs available for low-income residents in the project area. It also states that the project activities will try to utilize local suppliers.
7. Residential Anti-Displacement and Relocation Plan - This plan states how the County will replace all occupied and vacant occupiable low/moderate income dwelling units demolished or converted to a use other than low/moderate income housing as a direct result of activities assisted with funds provided under the Housing and Community Development Act of 1974 and what steps the County will take to replace these units.
8. Citizens Participation Plan - This plan states that the County will provide for and encourage citizen participation and will provide technical assistance to groups representative of persons of low and moderate income who request such assistance.
9. Citizens Participation Procedure for Complaints - This plan states the procedures developed by the County to handle complaints received involving the Community Development Block Grant or any HUD-funded programs.
10. Code of Conduct - This policy states that no public official, employee, officer or agent of the County shall participate in the selection, the award or the administration of a contract supported by federal funds if a conflict of interest, real or apparent, is involved.

11. 504 Grievance Procedure – This procedure allows for a process for which citizens can file a grievance concerning 504.
12. Signature Resolution – This resolution allows for up to four persons with the County to be designated to sign checks and requisitions. At least two signatures are required on all checks and requisitions.
13. Project Budget Ordinance – This budget outlines the source of revenue and expenditures throughout the life of the project.

INTRODUCTION

Yadkin County will receive a Community Development Block Grant in the amount of \$75,000. These funds will be used to provide water and/or sewer connections to (30) low and moderate income households in the County.

RECIPIENT'S PLAN TO FURTHER FAIR HOUSING

Yadkin County
217 E. Willow Street
PO Box 146
Yadkinville, NC 27055

Contact Person:

County Manager
336 679-4200
TDD# 1-800-735-8262

- I. **Indicate if the grantee will be affirmatively furthering fair housing for the first time or has implemented specific activities in the past.**

First Time _____ Past Activities X

- II. **Identify and analyze obstacles to affirmatively furthering fair housing in grantee's community. (Use additional pages as necessary.)**

The basic obstacle is a lack of knowledge among housing agencies in the community concerning Title VIII requirements.

- III. **Briefly describe the activities that the grantee will undertake over the active period of the grant to affirmatively further fair housing in their community. A time schedule for implementation of these activities must be included. Activities must be scheduled for implementation at least on a quarterly basis. (Use additional pages as necessary.)**

IMPLEMENTATION

The County will adopt a Fair Housing Resolution and a Complaint Procedure which designates the County Manager as the official to receive complaints regarding housing discrimination. These complaints will then, by formal agreement, be referred to the North Carolina Human Relations Commission for investigation, conciliation and resolution within ten (10) calendar days from the date of receipt. The County will also submit in writing a response to the individual which filed the complaint informing them of the action being taken. This response will be made within ten (10) calendar days from the date the complaint was received.

The County will place an advertisement in the local newspaper which will inform residents of the County's Fair Housing Resolution and to whom to contact for information and filing complaints.

The County will develop a fair housing pamphlet which summarizes Title VIII requirements and distribute those to various agencies in the community involved in housing services. This would include realtors, financial institutions and real estate developers.

TIME SCHEDULE

- 1st Quarter 2009 - The County will prepare Fair Housing Pamphlets and distribute them to appropriate lending institutions throughout the county.

- 2nd Quarter 2009 - The County will distribute Fair Housing Pamphlets to citizen organizations throughout the County.
- 3rd Quarter 2009 - The County will advertise the Fair Housing Resolution and the Complaint Procedure.
- 4th Quarter 2009 - The County will post Fair Housing Posters at other County maintained buildings visited by its citizens.
- 1st Quarter 2010 - The County will reassess its Fair Housing strategy to see how the plan has worked to date.
- 2nd Quarter 2010 - The County will request Fair Housing posters and pamphlets from the North Carolina Human Relations Commission (NCHRC). These materials will be made available to the public at the County Administrative Offices.
- 3rd Quarter 2010 - The County will maintain a current list of local realtors who are available to assist in locating housing for anyone needing assistance.
- 4th Quarter 2010 - The County will conduct a workshop to discuss Title VIII.

IV. Will the above activities apply to the total municipality or county?

Yes X No If no, provide explanation.

V. Describe grantee's method of receiving and resolving housing discrimination complaints. This may be either a procedure currently being implemented or one to be implemented under this CDBG grant. Include a description of how the grantee informs the public about the complaint procedures. (Use additional pages as necessary.)

The County has adopted a Fair Housing Resolution and a Complaint Procedure. These two documents designate the County Manager as the official to receive complaints regarding housing discrimination. These complaints will by formal agreement be referred to the North Carolina Human Relations Commission for conciliation and resolution within ten (10) calendar days of receipt.

APPROVED BY:

Kimberly Clark Phillips
Name of Chairman

Kimberly Clark Phillips
Signature of Chairman

11/17/08
Date

YADKIN COUNTY

FAIR HOUSING COMPLAINT PROCEDURE

Housing discrimination is prohibited by Title VIII of the Civil Rights Act of 1968 and by the North Carolina State Fair Housing Act. In an effort to promote fair housing and to ensure that the rights of housing discrimination victims are protected, Yadkin County has developed the following procedures for receiving and resolving housing discrimination complaints:

1. Any person or persons wishing to file a complaint of housing discrimination in Yadkin County may do so by informing the County Manager of the facts and circumstances of the alleged discriminatory acts or practice.
2. Upon receiving a housing discrimination complaint, the County Manager shall acknowledge the complaint within 10 days in writing and inform the North Carolina Human Relations Commission (217 W. Jones St., Raleigh, NC 27603-1334, 919-733-7996) about the complaint.
3. When a housing complaint cannot be resolved at the local level, the County Manager shall offer assistance to the Human Relations Commission in the investigation and reconciliation of all housing discrimination complaints which are based on events occurring in Yadkin County.
4. The County shall publicize in the local paper that the County Manager is the local official to contact with housing discrimination complaints.

**FAIR HOUSING RESOLUTION
OF
YADKIN COUNTY
NORTH CAROLINA**

WHEREAS, Yadkin County seeks to protect the health, safety and welfare of its residents;
and

WHEREAS, citizens seek safe, sanitary, and habitable dwellings in all areas of the County;
and

WHEREAS, Yadkin County finds the denial of equal housing opportunities because of religion, race, color, sex, familial or handicap status, or national origin legally wrong and socially unjust; and

WHEREAS, the denial of equal housing opportunities in housing accommodations is detrimental to public welfare and public order; and

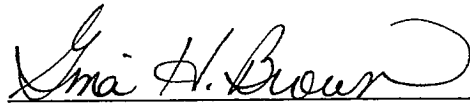
WHEREAS, Yadkin County finds the practice of discrimination against a citizen in housing a denial of his equal rights and his equal opportunity to seek a better living and develop community pride;

NOW THEREFORE, BE IT RESOLVED, by the Yadkin County Board of Commissioners of Yadkin County, North Carolina, that

- Section 1. Yadkin County has declared it an official policy of Yadkin County that there shall not be discrimination in the terms or conditions for buying or renting housing in Yadkin County.
- Section 2. All business groups and individual citizens of the County are urged to respect and implement this policy.
- Section 3. The County Manager, or his designate, of Yadkin County is the official authorized by the County to (1) receive and document complaints regarding housing discrimination in the County; and (2) refer such complaints to the North Carolina Human Relations Board of Commissioners for investigation, conciliation and resolution.

Adopted this 17th day of November, 2008


Chairman, Yadkin County Board of Commissioners

Attest: 
Clerk to the Board

**YADKIN COUNTY
EQUAL EMPLOYMENT AND PROCUREMENT PLAN**

Yadkin County maintains the policy of providing equal employment opportunities for all persons regardless of race, color, religion, sex, national origin, handicap, age, political affiliation, or any other non-merit factor, except where religion, sex, national origin, or age are bona fide occupation qualifications for employment.

In furtherance of this policy the County prohibits any retaliatory action of any kind taken by any employee of the County against any other employee or applicant for employment because that person made a charge, testified, assisted or participated in any manner in a hearing, proceeding or investigation of employment discrimination.

The County shall strive for greater utilization of all persons by identifying previously under utilized groups in the work force, such as minorities, women, and the handicapped, any making special efforts toward their recruitment, selection, development, and upward mobility and any other term, condition, or privilege of employment.

Responsibility for implementing equal opportunities and Affirmative Action measures is hereby assigned to the County Manager and/or other persons designated by the County Manager or the Board of Commissioners to assist in the implementation of this policy statement.

The County shall develop a self-evaluation mechanism to provide for periodic examination and evaluation. Periodic reports as requested on the progress of Equal Employment opportunity and the County Manager will present Affirmative Action to the Board of Commissioners.

The County is committed to this policy and is aware that with its implementation, the County will receive positive benefits through the greater utilization and development of all its human resources.

**YADKIN COUNTY
PROCUREMENT POLICY FOR
COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM**

In the procurement of supplies, equipment or services in Yadkin County Community Development Block Grant Program the following policies shall apply:

- 1) Small purchase procedures. These are relatively simple and informal procurement methods that are sound and appropriate for a procurement of services, supplies, or other property, costing in the aggregate not more than \$25,000. Under this procurement method price or rate quotations shall be obtained from an adequate number of qualified sources.
- 2) In competitive sealed bids (formal advertising) sealed bids shall be publicly solicited and a firm, fixed, price contract shall be awarded to the responsible bidder whose bid, conforming with all of the material terms and conditions of the invitation for bids, is lowest in price.
- 3) In competitive negotiations proposals shall be requested from a number of sources and the Request for Proposals shall be publicized. All aspects of the competitive negotiations shall be carried out in conformance with 24 CFR Part 85. Under this method special consideration shall be given to experience, technical abilities, and familiarity with the services to be provided. Price shall not be the sole consideration for award of contract.

On all procurement efforts shall be made to solicit bids from qualified small, female, and minority business firms.

In all cases procurement under this Policy must conform to the requirements for procurement set forth in 24 CFR Part 85.

An adequate record of procurement must be maintained to insure that these policies and the requirements of 24 CFR Part 85 have been followed in their entirety.

SECTION 3 PLAN FOR EMPLOYMENT OPPORTUNITIES
FOR BUSINESSES AND LOWER INCOME PERSONS
YADKIN COUNTY
2008 CDBG INFRASTRUCTURE HOOK-UP
PROGRAM

To insure that to the greatest extent possible contracts for work are awarded to business concerns located in or owned in substantial part by persons residing in the Section 3 covered area, as required by Section 3 of the Housing and Urban Development Act of 1968, Yadkin County has developed and hereby adopts the following Plan:

1. This section 3 Plan shall apply to services and needed in connection with the grant including, but not limited to, businesses in the fields of planning, consulting, design, building construction/renovation, maintenance and repair, etc.
2. This Section 3 covered project area for the purpose of this grant program shall, include Yadkin County, and portions of immediately adjacent counties.
3. When in need of a service, the County will identify suppliers, contractors or subcontractors located in the Section 3 area. Resources for this identification shall include the Minority Business Directory published through the State Department of Commerce, local directories and the Small Business Administration local offices. Word of mouth recommendations shall also be used as a source.
4. The County will include this Section 3 clause in all contracts executed under this CDBG Program. Where necessary, listing from any agency noted in No. 3 above deemed shall be included as well as sources of subcontractors and suppliers.
5. The prime contractor selected for major public facility or building construction work will be required to submit a Section 3 Plan which will outline his/her work needs in connection with the project. Should a need exist to hire any additional personnel, the Yadkin County Employment Security Commission shall be notified and referred to the contractor.
6. Each contractor for housing rehabilitation under the program, as applicable, for jobs having contracts in excess of \$100,000 shall be required to submit a Section 3 Plan. This Plan will be maintained on file in the grant office and shall be updated from time to time or as the grant staff may deem necessary.

**YADKIN COUNTY
RESIDENTIAL ANTI-DISPLACEMENT
AND
RELOCATION ASSISTANCE PLAN**

In order to comply with the requirements of Section 104(d) of the Housing and Community Development Act of 1974, Yadkin County adopts the following plan:

Yadkin County will replace all occupied and vacant occupiable very-low or low-income dwellings units demolished or converted to a use other than low/moderate-income housing as a direct result of activities assisted with funds provided under the Housing and Community Development Act of 1974, as amended, as described in 24 CFR 570.606 (b)(1).

All replacement housing will be provided within three years of the commencement of the demolition or rehabilitation relating to conversion. Before obligating or expending funds that will directly result in such demolition or conversion, the County will make public and submit to the HUD the following information in writing:

1. A description of the proposed assisted activity;
2. A general location on a map and approximate number of dwelling units by size (number of bedrooms) that will be demolished or converted to a use other than as low/moderate-income dwelling units as a direct result of the assisted activity;
3. A time schedule for the commencement and completion of the demolition or conversion;
4. The general location on a map and approximate number of dwelling units by size (number of bedrooms) that will be provided as replacement dwelling units;
5. The source of funding and a time schedule for the provision of replacement dwelling units; and
6. The basis for concluding that each replacement dwelling unit will remain a low/moderate-income dwelling unit for at least 10 years from the date of initial occupancy.

The County will provide relocation assistance, as described in 570.606 (b)(2), to each low/moderate-income household displaced by the demolition of housing or by the conversion of a low/moderate-income dwelling to another use as a direct result of assisted activities.

YADKIN COUNTY CITIZENS PARTICIPATION PLAN

Yadkin County provides for and encourages citizen participation, with particular emphasis on participation by persons of low and moderate income who are residents of low and moderate income neighborhoods and/or slum and blight areas. The County provides citizens with reasonable and timely access to local information and records relating to the grantee's proposed use of funds. This is done through County Board of Commissioners' meetings which are advertised in the local newspaper.

The County provides for public hearings to obtain citizens views and to respond to proposals and questions at all stages of the community development program, including the application process, the amendment process, and the closeout of the grant. Adequate notice is given for these meetings at times and locations convenient to potential or actual beneficiaries, and with accommodation for the handicapped. Any published advertisement issued by Yadkin County Community Development Office includes the State TDD telephone number (1-800-735-2962).

Yadkin County provides for timely written answers to written complaints and grievances. A response will be made within 10 calendar days. (See attached Complaint Procedure)

Yadkin County will provide a translator for non-English speaking residents for public hearings when the County is notified that any non-English speaking resident will attend the public hearing. An interpreter will be provided for hearing impaired residents.

Yadkin County will provide technical assistance on a timely basis through staff or other resources to citizen advisory groups, and upon request of groups of low and moderate-income persons and groups of residents in blighted neighborhoods.

CITIZEN PARTICIPATION

PROCEDURE FOR COMPLAINTS

Yadkin County has developed a procedure to process complaints which may be received involving the Infrastructure Hook-Up CDBG Project or any other HUD-funded program. There are two basic forms of complaint procedures: 1) verbal complaints which will be dealt with informally by the Community Development Office (CDO), and 2) written complaints which will be dealt with formally with a set of procedures including appeals.

All written complaints received by Congressional staff, local elected officials, the County Manager, and the CDO will be logged in as received by the CDO. Within a ten-day period following the receipt of this complaint, a response will be developed by the CDO, reviewed by the County Manager, and mailed to the party who wrote the complaint. This response will include property documentation and background of the case in question, the proposed action, and the time frame in which the complaint will be addressed.

In the event this response is unsatisfactory to the person making the complaint, that party will be referred to the Project Area Committee. This Committee and the CDO will hold a hearing to discuss the case. Minutes of these hearings will be held on file, and a written response by the Committee will be made to the complainant. The second procedure for the appeal process will be a hearing held by the County Board of Commissioners whereby the same procedure will be followed including a written response.

The final appeal can be made to the Division of Community Assistance or other agency which provides the funds. At this point, all parties will be present at a hearing at the Board of Commissioners Chambers. The written recommendation made by the State staff will be final in all cases.

It is the responsibility of the person making the complaint to furnish documents, provide documentation to support allegations, provide counsel if desired, inform in writing to the CDO if they are represented by a third party, and attend the meeting involving a review of their complaint.

**YADKIN COUNTY
COMMUNITY DEVELOPMENT CODE OF CONDUCT**

HATCH ACT

No employee or agent of the County may perform any function during work hours that is considered political activity. This includes: soliciting votes, transporting voters, distributing campaign materials, working on or developing campaign materials, etc.

DISCRIMINATION

No person shall, on the grounds of race, color, religion, national origin, handicap or familial status, Vietnam Era Veteran status or sex be excluded from participation in, be denied the benefits of, or be subject to discrimination under any program or activity funded in whole or in part with federal funds.

ENGAGEMENT IN PROCUREMENT

No employee, officer or agent of the County shall participate in the selection or award of administration of a contract supported by federal funds if a conflict of interest, real or apparent would be involved. Such a conflict would arise when:

- a) The employee, officer, or agent;
- b) Any member of his immediate family;
- c) His or her partner; or
- d) An organization which employs or is about to employ, any of the above, has a financial or other interest in the firm selected for award.

The grantee's officers, employees, or agent shall neither solicit nor accept gratuities, favors, or anything of monetary value from contractors, potential contractors, or parties to sub-agreements.

CONFLICT OF INTEREST

No employee or agent shall influence or attempt to influence the outcome of any case or matter in which he has a direct interest either personally or in the person of any relative by blood or marriage. Employees or agents so involved shall abstain from dealing with such matters; they may provide information at the request of the County Board of Commissioners but shall not attempt to influence the decisions of the County Board of Commissioners.

YADKIN COUNTY
217 E. Willow Street
PO Box 146
Yadkinville, NC 27055

SECTION 504
COMPLIANCE OFFICER/GRIEVANCE PROCEDURE

The Board of Commissioners of Yadkin County, North Carolina, hereby designates the Kim Cain as the Human Resources Manager, to serve as Section 504 Compliance Officer throughout the implementation of the Yadkin County Community Development Program.

Citizens with Section 504 grievances may do so at any point in the program. The County will respond in writing to written citizen grievances. Citizen grievances should be mailed to the Kim Cain, PO Box 146, Yadkinville, NC 27055. The County will respond to all written citizen grievances within ten (10) calendar days of receipt of the comments.

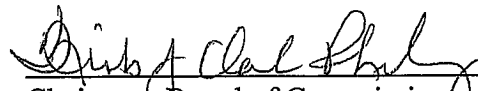
Should any individual, family, or entity have a grievance concerning any action prohibited under Section 504, a meeting with the compliance officer to discuss the grievance will be scheduled. The meeting date and time will be established within five (5) calendar days of receipt of the request. Upon meeting and discussing the grievance, a reply will be made, in writing, within five (5) calendar days.

If the citizen is dissatisfied with the local response, they may write to the North Carolina Department of Commerce, Division of Community Assistance, 4313 Mail Service Center, Raleigh, NC 27699-4313. DOC will respond only to written comments within ten (10) calendar days of the receipt of the comments.

**YADKIN COUNTY
RESOLUTION FOR SIGNATORY FORM AND CHECK SIGNATURES
FOR
COMMUNITY DEVELOPMENT BLOCK GRANT**

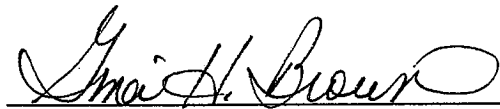
WHEREAS, in order to receive Community Development Block Grant funds Yadkin County must authorize persons to sign the requisition forms for Community Development Block Grant funds and checks for the Yadkin County Community Development Block Grant Program.

BE IT RESOLVED, that the County Manager, Chairman, Vice-Chairman and Finance Officer be authorized to execute the signature requirements for requisition of Community Development Block Grant funds and checks for disbursement.



Chairman, Board of Commissioners

ATTEST:



Clerk to the Board

Adopted this 17th day of November, 2008

YADKIN COUNTY
GRANT PROJECT ORDINANCE

BE IT ORDAINED by the Yadkin County Board of Commissioners that, pursuant to Section 13.2 of Chapter 159 of the General Statutes of North Carolina, the following grant project is hereby adopted:

Section 1. The project authorized is the Community Development Project described in the work statement contained in the Grant Agreement between this unit and the North Carolina Department of Commerce, Division of Community Assistance. This project is more familiarly known as the 2008 Infrastructure Hook-Up Project.

Section 2. The officers of this unit are hereby directed to proceed with the grant project within the terms of the grant document(s), the rules and regulations of the Department of Commerce, Division of Community Assistance and the budget contained herein.

Section 3. The following revenues are anticipated to be available to complete this project:

Community Development Grant	<u>\$75,000</u>
Total	\$75,000

Section 4. The following amounts are appropriated for the project:

Rehabilitation	\$63,750
Administration	<u>\$11,250</u>
Total	\$75,000

Section 5. The Finance Officer is hereby directed to maintain within the Grant Project Fund sufficient specific detailed accounting records to provide the accounting to the grantor agency required by the grant agreement(s) and federal and state regulations.

Section 6. Requests for funds should be made to the grantor agency in an orderly and timely manner as funds are obligated and expenses incurred.

Section 7. The Finance Officer is directed to report quarterly on the financial status of each project element in Section 4 and on the total grant revenues received or claimed.

Section 8. The Finance Officer is directed to include a detailed analysis of past and future costs and revenues on this grant project in every budget submission made to this board.

Section 9. Copies of this Grant Project Ordinance shall be made available to the Finance Officer and the Finance Officer for direction in carrying out this project.

Section 10. The Finance Officer is hereby authorized to transfer funds from one line item to another line item without further approval by the County Board of Commissioners, subject to the regulations of the North Carolina Department of Commerce, Division of Community Assistance.

Adopted this 17th day of November, 2008

Kimberly Clark Shelly
Chairman, Yadkin County Board of Commissioners

Attest: Lisa H. Brown
Clerk to the Board

Addendum B.22

RB915 P0073
APPENDIX B- FRANCHISES

ARTICLE II. SOLID WASTE*
YADKIN COUNTY ORDINANCE
SOLID WASTE

FILED
YADKIN COUNTY, NC
KAREN H. WAGONER
REGISTER OF DEEDS

FILED Nov 19, 2008
AT 11:15:44 am
BOOK 00915
START PAGE 0073
END PAGE 0076
INSTRUMENT # 05687

WHEREAS, the Board of County Commissioners for Yadkin County desires to adopt an ordinance governing the management, collection, transportation, and disposal of solid waste, including provisions for the granting of non-exclusive franchises and the regulation of charges; and

WHEREAS, the Board does hereby resolve to grant franchises, fix the franchise fee, establish maximum charges for residential and commercial collection and transportation, and requires residential services set forth herein below;

NOW THEREFORE, BE IT RESOLVED by the Board of County Commissioners of Yadkin County as follows:

(Sec) 1. Granting of franchises

- (a) It shall be unlawful for any person, firm, corporation, or organization to provide services for pickup, collections, transportation, or disposal of solid waste within Yadkin County without having first been granted a franchise by the Board of County Commissioners to do so.
- (b) The services of collection, transporting, and disposal of solid waste within the area of Yadkin County shall be rendered by a private franchised operator or operators licensed by the county upon application duly made to and approved by the Board of Commissioners.

(Sec) 2. Franchise holders

Franchises for the collection and transportation of solid waste are hereby granted to those persons set forth in the attached document entitled "Franchise Holders (solid waste collection and transportation)" incorporated herein by reference, for the type of service indicated therein.

(Sec) 3. Franchise area

- (a) The franchise holders set forth in section two above are hereby granted franchises to cover the whole area of Yadkin County.

***Editor's note-** Printed herein is the ordinance regulating the granting of solid waste franchises adopted on October 17, 1979. Obviously misspelled words have

been corrected without notation. Words added for clarification have been added in brackets. Amendments have been included and are indicated by a history note immediately following the amended section.

State law references-Solid Waste, G.S.130A.290 et seq.; authority to regulate, G.S. 153A-121, G.S. 153A-132.1, G.S. 153A-136 et seq.

(Sec) 4. Franchise fee

- (a) There is hereby imposed for each franchise granted the lump sum fee of Three Hundred Dollars (\$300.00) for the first 3 years, beginning January 2, 2009, payable to Yadkin County in consideration of the issuance of said franchise. This fee shall be doubled for anyone who operates without a franchise agreement approved by the County Commissioners.
- (b) Upon approval of a franchise agreement the contractor shall display the appropriate stickers on vehicles.

(Sec) 5. Service

All Franchised Solid Waste holders shall provide at least once per week pickup of stored solid waste.

Within the franchise area, the franchise holder should provide service to everyone who requests it for domestic and household and business solid waste disposal and related additional or special services for negotiated fees.

Fees or charges for containerized commercial or industrial service may be negotiated to the satisfaction of the parties concerned.

(Sec) 6. Disposal

All Franchise Holders will be required to bring all Solid Waste to the Yadkin County Transfer Station located at 1149 Landfill Rd.

(Sec) 7. Filing and contents of application

Applications for franchises under this ordinance shall be filed with the Board, through the County Manager, on forms prescribed by the County Manager and setting forth or accompanied by the following information and such other information as the County Manager may request in order that the board may properly consider the application.

- (a) Full name and address of the applicant and whether a proprietorship, corporation or partnership, with disclosure of ownership interest.
- (b) An itemized list of the applicant's equipment intended to serve the franchised area.

- (c) Experience, if any which the applicant has in rendering the services for which the franchise is requested.
- (d) Certify that a minimum of \$1,000,000.00 liability insurance coverage has been obtained for the Franchise Holder and that Yadkin County is named as an additional insured on the certificate.

(Sec) 8. Findings and prerequisite to grant

- (a) Franchises required by this article shall be granted only upon the board being satisfied that the applicant will render prompt, efficient and continuing service to the area for which the franchise is granted, and that the applicant has sufficient equipment and personnel to render such services to all within the franchised area.
- (b) No person shall collect, transport, or dispose of solid waste for a fee, hire or consideration, unless such person holds a valid, current franchise issued by the Board of County Commissioners empowering him to so collect, transport, or dispose of solid waste.

(Sec) 9. Condition

The franchise granted in this ordinance is conditioned upon compliance with all applicable state and local rules, regulations, and ordinances.

(Sec) 10. Duty of holder to remove customer's solid waste

Any person operating under a franchise granted under this ordinance shall present to each customer, in advance of any agreement with that customer, a schedule of his fees, as authorized under this ordinance, to be charged. All solid waste shall be removed from the customer's premises at least once per week, provided the customer is not more than thirty days in arrears in payment of such fees.

(Sec) 11. Temporary franchises

The Board may grant temporary franchises for the collection, transportation, or disposal of solid waste to provide service in the event of abandonment of an existing franchise or for other good cause.

(Sec) 12. Determination of disputes relating to grant of franchise

All conflicts and disputes regarding the granting of a franchise and disagreements over franchised territory shall be determined by the Board of Commissioners and its decision shall be final.

No franchise granted under this article shall be transferred by a holder without the prior approval of the Board of Commissioners, and no person shall abandon such a franchise without thirty (30) days prior written notice thereof being given to the Board, through the County Manager.

(Sec) 14. **Termination or suspension**

All or any portion of a franchise granted under this ordinance may be terminated or suspended by the Board of County Commissioners for the following reasons:

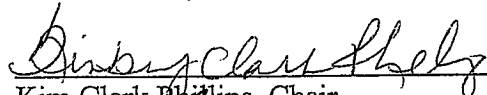
- (a) Loss of his solid waste management permit by the franchise holder
- (b) Noncompliance with the franchise, collection, and collection and transportation or disposal regulations promulgated by the Board of County Commissioners
- (c) The attempted transfer of the franchise without prior approval of the Board of County Commissioners.
- (d) Failure to render prompt and effective service to customers
- (e) Failure to comply with any provisions of this chapter or applicable health regulations.

Complaints or grievances may be brought to the Board's attention by submission in writing to the County Manager or his representatives.


(Sec) 15. **Effective date**

The Yadkin County Solid Waste ordinance ~~is effective January 21, 2009~~.

Revised and Approved by the Yadkin County Board of Commissioners
November 17, 2008



Kim Clark Phillips, Chair

Attest: 



ROBERT S. SEGAL, CPA PA

EXPENSE REDUCTION & REVENUE ENHANCEMENT

1912 EASTCHESTER DRIVE
SUITE 206
HIGH POINT, NC 27265
336-886-2100
FAX 336-886-2101
www.segalcpa.com

LETTER OF AGREEMENT

Robert S. Segal, CPA, PA (SEGAL) and Yadkin County (CLIENT) hereby enter into this Letter of Agreement whereby SEGAL shall serve as a consultant to CLIENT to examine and analyze the following listed expenses/revenues of CLIENT and to make recommendations to achieve savings, credits, refunds or additional revenues, hereafter referred to as funds, in these areas:

Any and all revenues and expenses

SEGAL will furnish CLIENT with a written report as to recommendations that may be made in the above areas. CLIENT will then determine which (if any) of these recommendations CLIENT wishes to implement. SEGAL will then assist in the implementation of these recommendations to achieve the funds. Future savings and revenues are computed on a per unit basis.

CLIENT agrees to pay SEGAL on each recommendation for funds which is implemented, a fee equal to fifty (50) cents of every dollar saved, credited, refunded or additional revenues during the 24 month period immediately following full implementation of the recommendation. This fee will be paid quarterly following the date of full implementation of the particular recommendation.

SEGAL AGREES TO MAINTAIN IN STRICT CONFIDENCE ALL INFORMATION RECEIVED FROM CLIENT CONCERNING ITS EXPENSES AND METHODS OF DOING BUSINESS. FURTHERMORE, SEGAL ACTS AS A CONSULTANT ONLY AND DOES NOT RECEIVE ANY COMMISSIONS OR REMUNERATION OF ANY KIND FROM ANY VENDORS OR SERVICE PROVIDERS.

The persons signing below are authorized to do so on behalf of their respective organizations.

This Letter of Agreement shall be binding upon the parties hereto, their heirs, successors and assigns. This Letter of Agreement is entered into effective as of 17th day of November 2008.

CLIENT: Yadkin County

Robert S. Segal, CPA PA

By: Kimberly Clark Phillips

By: Robert S. Segal

Title: Chairman, Yadkin Co. Commissioner

Title: President



ROBERT S. SEGAL, CPA PA

EXPENSE REDUCTION & REVENUE ENHANCEMENT

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HIGH POINT, NC 27265
336-886-2100
FAX 336-886-2101
www.segalcpa.com

LETTER OF AGREEMENT

Robert S. Segal, CPA, PA (SEGAL) and Yadkin County (CLIENT) hereby enter into this Letter of Agreement whereby SEGAL shall serve as a consultant to CLIENT to examine and analyze the following revenue of CLIENT for the purpose of obtaining any tax refunds available in all prior years still open under the statute of limitations.

North Carolina Sales/Use Tax Reallocation

The Client agrees that the above listed revenue is not currently under tax refund evaluation by CLIENT and all refunds received will be eligible for full payment of fees to SEGAL at the contract rate stipulated below. SEGAL will furnish CLIENT with a written report as to sales/use tax refunds discovered. SEGAL will then prepare the respective refund claims to achieve the refunds.

CLIENT agrees to pay SEGAL a fee according to the Fee Schedule below based upon every dollar refunded due to Segal's amending of refund returns and upon the CLIENT'S receipt of documentation from the North Carolina Department of Revenue evidencing the additional sales/use tax revenue being transferred to the CLIENT. (The County may wish to have an interlocal agreement with the municipalities within the County who will share in the benefit of this project.)

FEE SCHEDULE based on the net adjustment:

- 15% fee for the first \$10,000
- 20% fee for recoveries \$10,001 to \$20,000
- 25% fee for recoveries \$20,001 to \$30,000
- 30% fee for recoveries \$30,001 to \$40,000
- 35% fee for recoveries over \$40,000

SEGAL AGREES TO MAINTAIN IN STRICT CONFIDENCE ALL INFORMATION RECEIVED FROM CLIENT CONCERNING ITS EXPENSES AND METHODS OF DOING BUSINESS. FURTHERMORE, SEGAL ACTS AS A CONSULTANT ONLY AND DOES NOT RECEIVE ANY COMMISSIONS OR REMUNERATION OF ANY KIND FROM ANY VENDORS OR SERVICE PROVIDERS.

SEGAL has contracted with Dixon Hughes PLLC to assist with this project.

The persons signing below are authorized to do so on behalf of their respective organizations. This Letter of Agreement shall be binding upon the parties hereto, their heirs, successors and assigns. This Letter of Agreement is entered into effective as of the 17th day of November, 2008.

CLIENT: Yadkin County

Robert S. Segal, CPA PA

By: Geirburg Clark Phelley

By: Robert S. Segal

Title: Chairman, Yadkin County Comm. In

Title: President



ROBERT S. SEGAL, CPA PA

EXPENSE REDUCTION & REVENUE ENHANCEMENT

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SUITE 206
HIGH POINT, NC 27265
336-886-2100
FAX 336-886-2101
www.segalcpa.com

LETTER OF AGREEMENT

Robert S. Segal, CPA PA (SEGAL) and Yadkin County (CLIENT) hereby enter into this Letter of Agreement whereby SEGAL shall serve as a consultant to CLIENT to complete the process to achieve funding in the following area:

State Criminal Alien Assistance Program (SCAAP) for FY2007 (7/1/2005-6/30/2006)

The Client agrees that SCAAP is currently not under evaluation by CLIENT and all funding for this program will be eligible for full payment of fees to SEGAL.

SEGAL will furnish CLIENT with the completed forms to file for the SCAAP FY2007.

CLIENT agrees to pay SEGAL a fee based on the following schedule:

Grant Amount	Fee
\$0 to \$2,500	50%
\$2,501 to \$5,000	35%
over \$5,000	20%

SEGAL AGREES TO MAINTAIN IN STRICT CONFIDENCE ALL INFORMATION RECEIVED FROM CLIENT CONCERNING ITS EXPENSES AND METHODS OF DOING BUSINESS. FURTHERMORE, SEGAL ACTS AS A CONSULTANT ONLY AND DOES NOT RECEIVE ANY COMMISSIONS OR REMUNERATION OF ANY KIND FROM ANY VENDORS OR SERVICE PROVIDERS.

The persons signing below are authorized to do so on behalf of their respective organizations.

This Letter of Agreement shall be binding upon the parties hereto, their heirs, successors and assigns. This Letter of Agreement is entered into effective as of _____ day of _____, _____.

CLIENT: Yadkin County


Robert S. Segal, CPA PA

By: _____

By: 
Robert S. Segal

Title: _____

Title: President

* YC Board of Commissioners
Did not renew this portion of
Segal's agreements. Addendum D3
Soc mtg 11/17/08


**A Proposal For
Yadkin County**

**Non-personnel Expense Reduction
and
Revenue Enhancement Consulting**

September 13, 2006

Prepared By

Robert S. Segal, CPA PA
EXPENSE REDUCTION AND REVENUE ENHANCEMENT



ROBERT S. SEGAL, CPA PA

EXPENSE REDUCTION & REVENUE ENHANCEMENT

1912 EASTCHESTER DRIVE
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Thank you for allowing me to introduce Robert S. Segal, CPA PA, ("SEGAL"). Established in 1995, Robert S. Segal, CPA PA is the only CPA firm in North Carolina devoted exclusively to non-personal expense reduction and revenue enhancement consulting performed on a contingent fee basis. We have worked successfully with 71 North Carolina counties, 32 school districts and numerous municipalities, authorities and agencies to improve their cash flow.

Most public organizations are experiencing difficult times balancing their budgets. Demand for services is increasing while no one wants to increase property taxes or fees. SEGAL has helped many organizations to improve their cash flow by making specific recommendations. Typically we review paid invoices comparing the unit prices to our database and finding opportunities to obtain the same goods and services at lower prices while maintaining the same quality and level of service. Over 70% of the time, our recommendation is to continue with the present vendor at a lower price. Over 95% of our recommendations have been approved and implemented.

While we have made recommendations for over 50 revenues and expenses, the following areas had the best results:

- Banking services
- Cellular phones
- Copy services
- Debt set off
- Electricity
- Food services
- Franchise fees and taxes
- Gasoline & diesel
- Jail expenses
- NC Department of Correction
- NC sales and use tax
- SCAAP
- Telecommunication services
- Universal service fund/e-rate discounts
- Water treatment chemicals
- Water leak detection

Robert S. Segal, CPA PA is paid on based on performance with no risk to our clients. Our fees are charged only if we reduce business costs or increase revenues. We receive a percentage of the actual savings, refunds, or credits for a period of time based upon quarterly audits after the recommendation is fully implemented. As professional analysts, we work unobtrusively while performing most of our work from our premises and using our own resources, not our clients.

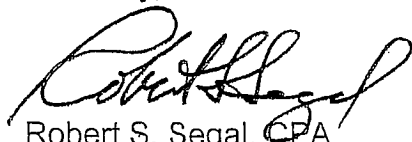
Robert S. Segal, CPA PA consists of three CPAs with each one having over 20 years of accounting and business experience. We are highly motivated people with excellent analytical, business negotiation and interpersonal skills. We are committed to applying our in-depth knowledge and relevant experience to improve client operations. We are also dedicated to implementing high value purchasing solutions that strengthen our client's financial position and contribute toward long-term competitive advantages.

Attached are bibliographies for Robert S. Segal and the primary associates of Robert S. Segal, CPA PA. A list of references is attached. SEGAL has a variety of clients including:

- Alexander County government
- Columbus County government
- Cumberland County government
- Davie County government and schools
- Davidson County government
- Halifax County government and schools
- Iredell County government and schools
- New Hanover County government
- Pitt County government and schools
- Robeson County government
- Watauga County government
- Wilson County government and schools
- Wilkes County government

ROBERT S. SEGAL, CPA PA agrees to maintain in strict confidence all information received from Yadkin County concerning its operations. Robert S. Segal, CPA PA also provides these services to school systems and organizations funded by Yadkin County. Thank you for the opportunity to provide this proposal. If you have any questions, please do not hesitate to contact me.

Sincerely,

A handwritten signature in black ink, appearing to read "Robert S. Segal", written in a cursive style.

Robert S. Segal, CPA
President



ROBERT S. SEGAL, CPA PA

EXPENSE REDUCTION & REVENUE ENHANCEMENT

1912 EASTCHESTER DRIVE
SUITE 206
HIGH POINT, NC 27265
336-886-2100
FAX 336-886-2101
www.segalcpa.com

References

Jim Stockert
Assistant County Manager/Finance Officer
Davie County
336-751-5256

Susan Blumenstein
Assistant County Manager/Finance Officer
Iredell County
704-878-3040

Doris Isaacs
Finance Officer
Watauga County
828-265-8013

Zeb Hanner
Deputy County Manager/Budget Officer
Davidson County
336-242-2213

Linda Taylor
Finance Officer
Halifax County
252-583-3771

Ellis Williford
County Manager
Wilson County
252-399-2803

Melonie Bryan
Assistant County Manager/Finance Officer
Pitt County
252-830-6312



ROBERT S. SEGAL, CPA PA

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Sample Recommendations for Savings

First Year Savings:

\$330,000	Telecommunications savings
\$145,000	Peak shaving program/generator
\$124,000	Copying services
\$100,000	Copying services
\$ 88,000	Telecommunication services
\$ 64,000	Copying services
\$ 60,000	Telecommunication service
\$ 60,000	Fuel
\$ 58,000	Banking services
\$ 51,000	Water leak detection services
\$ 39,000	Cellular phone services
\$ 36,000	Copying services
\$ 30,000	Utility rate changes
\$ 25,000	Waste disposal services
\$ 22,000	Fuel
\$ 21,000	Banking services
\$ 21,000	Electricity rate changes

One Time Refunds, Credits or Rebates:

\$744,000	Sales tax reallocation
\$625,000	Sales tax reallocation
\$500,000	Additional NC sales tax refund
\$440,000	SCAAP grant
\$395,000	Inmate reimbursements
\$380,000	Additional NC sales tax refund
\$ 25,000	Utility billing error
\$ 24,000	Utility billing error
\$ 17,000	Cable TV franchise fee
\$ 15,000	Utility billing error



ROBERT S. SEGAL, CPA PA

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Robert S. Segal, CPA

Bob is the President of Robert S. Segal, CPA PA, the only North Carolina CPA firm dedicated exclusively to non-personnel expense reduction and revenue enhancement consulting on a contingent fee basis. Since founding the practice in May 1994, he has worked with a variety of organizations including city and county governments, public school systems, housing authorities, and area mental health programs. These clients have realized millions of dollars of savings based upon lower future costs and refunds, rebates or credits provided by current vendors. He has reviewed over 50 different expenses which are commonly paid by all organizations including banking services, electricity, freight, maintenance contracts, merchant discounts, overnight express mail, phone services (long distance, 800 and local), sales tax and waste disposal.

Prior to founding Robert S. Segal, CPA PA, Bob worked as Chief Financial Officer for several financial institutions and in public accounting. He has over 25 years of accounting and business experiences.

Education:

B.S. in Business from Kent State University, majoring in accounting and management science

Professional:

Member, North Carolina Association of Certified Public Accountants
Member, American Institute of Certified Public Accountants
Affiliate Member, North Carolina Association of Government Finance Officers
Affiliate Member, National Sheriffs Association



ROBERT S. SEGAL, CPA PA

EXPENSE REDUCTION & REVENUE ENHANCEMENT

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www.segalcpa.com

James A. Ross II, CPA

In November 1995, Jim became associated with Robert S. Segal, CPA PA and began assisting North Carolina local governments and school systems in their efforts to reduce non-personnel expenses. Jim has undertaken a variety of successful projects including banking services, copying services, electricity, fuel, insurance and telephone services.

Following his graduation from Virginia Polytechnic Institute and State University (BA, MBA, and MS-Accountancy) in 1975, Jim began his public accounting career as a CPA with then Peat Marwick Mitchell & Co. ("PMM") in Raleigh, North Carolina. During his seven years with PMM, Jim rose to audit manager and assumed primary audit responsibilities for public and governmental clients including the Town of Chapel Hill, four of the seven largest banks in North Carolina and several Securities and Exchange regulated public companies.

In 1982, Jim left PMM to become a Vice President and CAO of Conner Corporation, an AMEX-listed manufacturer, financier and dealer in mobile homes. During his seven-year tenure, Jim supervised the accounting, insurance, closing, data processing and collections departments. From 1989 to 1995, Jim worked with troubled savings and loans and banks, assisting them in a variety of capacities aimed at increasing their probability of survival.



ROBERT S. SEGAL, CPA PA

EXPENSE REDUCTION & REVENUE ENHANCEMENT

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www.segalcpa.com

Phillip R. Huffman, CPA

Phillip joined Robert S. Segal, CPA PA in November 1997. Prior to this, Phillip worked as a staff accountant in the Hickory, North Carolina office of A.M. Pullen & Company, CPA for two years before joining The Brian Center Corporation and serving as Internal Auditor, Controller, and Director of Budgets and Planning. In 1990, Phillip moved to High Point and worked with BB&T Insurance Services for seven years.

Since joining Segal, Phillip has concentrated in assisting clients review and evaluate expense areas such as banking services, cellular phones, electricity, inmate reimbursements programs, fuel and waste disposal

Education:

B.A. in Accounting from North Carolina State University

Professional:

Member, North Carolina Association of Certified Public Accountants

Member, American Institute of Certified Public Accountants



Mr. Stan Kiser
County Manager
Yadkin County
Post Office Box 146
Yadkinville, North Carolina 27055

Subject:
5-D Oversight
Grant Administration
Water Intake Structure Inspection

Dear Mr. Kiser

ARCADIS G&M of North Carolina, Inc. (ARCADIS) is pleased to submit our proposal for project oversight, grant administration and inspection of the water intake structure associated with the 5-D project. These services are for the first year of the project.

Scope of Services

Scope

The following services will be provided during the project.

5-D Oversight (Darden)

- Attend monthly progress meeting
- Oversee Contractor/Engineer coordination
- Review pay requests by Engineer and Contractor
- Review any change order request
- Meetings as necessary

ARCADIS G&M of North Carolina,
Inc.
First Citizens Bank Plaza
128 South Tryon Street
Suite 1100
Charlotte
North Carolina 28202
Tel 704.752.4258
Fax 704.752.0271
www.arcadis-us.com

WATER RESOURCES

Date:
November 10, 2008

Contact:
Randy Darden, Jr. P.E.

Phone:
704.544.5434

Email:
randy.darden@arcadis-us.com

Page:

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Grand Administration (Darden and Apple)

Attend meeting with State and Federal Agencies

Preparation of required documents for reimbursement

Coordinate with Finance Department

Water Supply Intake Inspection (Greensboro Office Staff)

Review Shop Drawings (intake pipe, valves, concrete, rebar drawings)

Limited construction observation (1 day per week when needed)

Responses to any RFI's

As built drawings

Please note we have assigned the same engineer to assist Schnabel with Contractor Administrator Services as will provide construction observation of the intake structure. This allows us to limit the construction observation time.

Schedule

These services will begin following the Contract agreement with Haymes Brothers is executed.

ARCADIS

Mr. Kiser
November 10, 2008


Compensation

The compensation requested for these services is provided below. ARCADIS standard terms and conditions apply

Project Oversight	\$ 65,000
Grant Administration	\$ 30,000
Water Intake Inspection	\$ 45,000

Thank you for the opportunity to provide this proposal. Please feel free to call me should you have any questions.

Sincerely,
ARCADIS G&M of North Carolina, Inc.



Randy Darden, Jr., P.E.
Water Resources Department Manager

Page:

3/4

Mr. Kiser
November 10, 2008

Authorized by:

ARCADIS G&M of North Carolina, Inc.
(Engineer)

Water Resources Department Manager

Date _____

This proposal and its contents shall not be duplicated, used, or disclosed—in whole or in part—for any purpose other than to evaluate the proposal. This proposal is not intended to be binding or form the terms of a contract unless and until a properly executed authorization to perform is signed by both parties and becomes part of this document. The scope and price of this proposal will be superseded by the contract. This proposal is not intended to be binding or form the terms of a contract. The scope and price of this proposal will be superseded by the contract. If this proposal is accepted and a contract is awarded to ARCADIS as a result of—or in connection with—the submission of this proposal, ARCADIS and/or the client shall have the right to make appropriate revisions of its terms, including scope and price, for purposes of the contract. Further, client shall have the right to duplicate, use, or disclose the data contained in this proposal only to the extent provided in the resulting contract.

Page:

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Addendum E.4



Mr. Stan Kiser
County Manager
Yadkin County
Post Office Box 146
Yadkinville, North Carolina 27055

Subject:
On Call Consulting Contract
Additional Funding

Dear Mr. Kiser:

ARCADIS G&M of North Carolina, Inc. (ARCADIS) is pleased to submit our request for additional on call consulting contract.

Scope of Services

Scope

- Miscellaneous engineering designs
- Interim manager duties
- Grant assistance
- Cost estimating
- Various meeting
- Printings, reproduction, travel etc.
- Jonesville WTP meetings

Additional services anticipated or performed to date are: A meeting to initiate start up of the Chlorine building construction, anticipated engineering services associated with the USDA waterline grant for the Courtney Huntsville waterline, various meeting with Lydall on water service, review of existing facilities and coordination with Jonesville on the new water connection to Lydall. A meeting with DENR on the Jonesville plant requested exceptions to minimize overall plant cost is scheduled for mid November and continued interaction with Jonesville engineer on the plant design.

Imagine the result

Use or disclosure of information contained on this sheet is subject to the restriction and disclaimer located on the signature page of this document.

Addendum E.5

ARCADIS G&M of North Carolina,
Inc.
First Citizens Bank Plaza
128 South Tryon Street
Suite 1100
Charlotte
North Carolina 28202
Tel 704.752.4258
Fax 704.752.0271
www.arcadis-us.com

WATER RESOURCES

Date:
November 6, 2008

Contact:
Randy Darden, Jr. P.E.

Phone:
704.544.5434

Email:
randy.darden@arcadis-us.com

ARCADIS

Mr. Kiser
November 6, 2008

Schedule

The contract is for on call services and tasks will be accomplished upon request following any scheduling required.

Compensation

This request is for the lump sum amount of \$15,000. The standard ARCADIS terms and conditions of the original contract will remain in effect.

Thank you for the opportunity to provide this proposal. Please feel free to call me should you have any questions.

Sincerely,
ARCADIS G&M of North Carolina, Inc.



Randy Darden, Jr., P.E.
Water Resources Department Manager

Imagine the result

Use or disclosure of information contained on this sheet is subject to the restriction and disclaimer located on the signature page of this document.

Addendum E.6

Page:
2/3

ARCADIS

Mr. Kiser
November 6, 2008

Authorized by:

Yadkin County, North Carolina
(Owner)


County Manager

11/17/08
Date

Authorized by:

ARCADIS G&M of North Carolina, Inc.
(Engineer)

Water Resources Department Manager

Date

This proposal and its contents shall not be duplicated, used, or disclosed—in whole or in part—for any purpose other than to evaluate the proposal. This proposal is not intended to be binding or form the terms of a contract unless and until a properly executed authorization to perform is signed by both parties and becomes part of this document. The scope and price of this proposal will be superseded by the contract. This proposal is not intended to be binding or form the terms of a contract. The scope and price of this proposal will be superseded by the contract. If this proposal is accepted and a contract is awarded to ARCADIS as a result of—or in connection with—the submission of this proposal, ARCADIS and/or the client shall have the right to make appropriate revisions of its terms, including scope and price, for purposes of the contract. Further, client shall have the right to duplicate, use, or disclose the data contained in this proposal only to the extent provided in the resulting contract.

Addendum E.7

Page:
3/3



Mr. Stan Kiser
County Manager
Yadkin County
Post Office Box 146
Yadkinville, North Carolina 27055

Subject:
Contracting Officer Contract
5-D Dam Project
Additional Funding

Dear Mr. Kiser

ARCADIS G&M of North Carolina, Inc. (ARCADIS) is pleased to submit our request for additional funding to serve as Yadkin County's Contracting Officer for the 5-D project.

Scope of Services

Scope

The requested additional funding is to address a number of meetings held with regard to the LGC approval, preliminary meeting with Haymes Brothers and Schnabel relative to early mobilization to the site, meetings with Mr. Graham on early mobilization. Also attend bid opening review Schnabel's recommendation of award and bid tabulation and future planning with Schnabel and Yadkin County staff on internal management issues. Finally a review of the contract documents between Schnabel and Haymes will be accomplished and comments and recommendations given to Mr. Graham.

Schedule

The authorization of ARCADIS as Contracting Officer to Yadkin County for the 5-D dam project was given at the Yadkin County Commissioners meeting on January 21, 2008. This project agreement will end following the official award of the contract to Haymes Brothers and start of construction activities.

ARCADIS G&M of North Carolina,
Inc.
First Citizens Bank Plaza
128 South Tryon Street
Suite 1100
Charlotte
North Carolina 28202
Tel 704.752.4258
Fax 704.752.0271
www.arcadis-us.com

WATER RESOURCES

Date:
November 6, 2008

Contact:
Randy Darden, Jr. P.E.

Phone:
704.544.5434

Email:
randy.darden@arcadis-us.com

Imagine the result

Use or disclosure of information contained on this sheet is subject to the restriction and disclaimer located on the signature page of this document.

Addendum E.8

ARCADIS

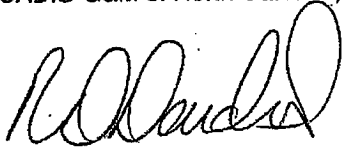
Mr. Kiser
November 6, 2008

Compensation

This request is for an additional lump sum amount of \$12,000. The standard ARCADIS terms and conditions of the original contract will remain in effect.

Thank you for the opportunity to provide this proposal. We look forward to being a part of this exciting project, the 5-D dam. Please feel free to call me should you have any questions.

Sincerely,
ARCADIS G&M of North Carolina, Inc.



Randy Darden, Jr., P.E.
Water Resources Department Manager

Page:

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ARCADIS

Mr. Kiser
November 6, 2008

Authorized by:

Yadkin County, North Carolina
(Owner)


County Manager

11/17/08
Date

Authorized by:

ARCADIS G&M of North Carolina, Inc.
(Engineer)

Water Resources Department Manager

Date

This proposal and its contents shall not be duplicated, used, or disclosed—in whole or in part—for any purpose other than to evaluate the proposal. This proposal is not intended to be binding or form the terms of a contract unless and until a properly executed authorization to perform is signed by both parties and becomes part of this document. The scope and price of this proposal will be superseded by the contract. This proposal is not intended to be binding or form the terms of a contract. The scope and price of this proposal will be superseded by the contract. If this proposal is accepted and a contract is awarded to ARCADIS as a result of—or in connection with—the submission of this proposal, ARCADIS and/or the client shall have the right to make appropriate revisions of its terms, including scope and price, for purposes of the contract. Further, client shall have the right to duplicate, use, or disclose the data contained in this proposal only to the extent provided in the resulting contract.

Addendum E.10

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Yadkin County, North Carolina
Installment Purchase Agreement - Jail Project
PROPOSED FINANCING CALENDAR
 As of November 11, 2008

Nov-08						
S	M	T	W	Th	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

Dec-08						
S	M	T	W	Th	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

Jan-09						
S	M	T	W	Th	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

Date	Event	Responsible Party
Sunday, 11/9/08	Advertisement for construction bids	A, C
By Friday, 11/21/2008	Receipt of all governmental approvals for construction of jail facility	E, C
Monday, 11/24/08	RFP distributed to banks.	FA, C
Monday, 11/24/08	Pre bid conference with Contractors	C, A
Not Later Than, Wednesday, 11/26/08	Preliminary meeting/call with LGC staff.	FA, C
Monday, 12/1/08	Board of Commissioners adopt preliminary resolution and schedule public hearing.	C, SC
Thursday, 12/4/08	Publish notice of public hearing.	C, SC
Monday, 12/8/08	Receive bank bids.	FA, C
Wednesday, 12/10/08	File LGC application.	FA, C
Monday, 12/15/08	Board of Commissioners to hold public hearing and adopt resolution making certain findings and approving best bid in response to RFP.	C
Thursday, 12/18/08	Open construction bids	C, A
Monday, 01/5/09	Board of Commissioners to adopt resolution approving documents.	C
Tuesday, 01/6/09	LGC approval	LGC
Tuesday, 01/20/09	Pre-Closing	All Parties
Wednesday, 01/21/09	Closing	All Parties

Key	Working Group Participants
C	Yadkin County, NC
SC	Special Counsel - Robinson Bradshaw & Hinson
FA	Financial Advisor - First Southwest Company
LCG	North Carolina Local Government Commission
E	Engineer
NLT	Not Later Than
A	<i>Moseley Architects</i>